

**Executive Record Sheet for Indian Cost Accounts Service Officers**

1. Name : Umesh Kumar Shukla
2. Date of Birth : 05-06-1963
3. Sex (Male/Female) : Male
4. Place of Domicile : Uttar Pradesh
5. Languages
  - a) Mother Tongue : Hindi
  - b) Indian Languages Known : Hindi and English
  - c) Foreign Languages Known : English
6. Date of Joining ICoAS & Allotment Year : 23-12-1994 & 1988
7. Category (SC/ST/OBC/UR) : UR
8. Email Address : ukshukla.icoas@nic.in
9. Qualification Details :

S. No.	Degree/Diploma	Institution/University	Main Subject(s)	Year of Passing/ Division
1.	High School	U.P. Board, Allahabad	Math, Commerce.	1977/ First
2.	Intermediate	U.P. Board, Allahabad	Math, Accountancy	1979/ First+
3.	B.Com.	Kanpur University, Kanpur	Commerce, Economics	1981/ First
4.	M.Com.	Kanpur University, Kanpur	Commerce, Economics	1983/ First
5.	Cost & Works Accountant	The Institute of Cost & Works Accountant of India, Kolkata	Costing, Finance, Economics, Management	June, 1984/ Pass*
6.	Company Secretary	The Institute of Company Secretaries of India, New Delhi	Corporate Laws, Economic Laws	June, 1988/ Pass
7.	LL.B. (General)	Kanpur University, Kanpur	General Laws	1991/ First
8.	LL.B. (Professional)	Kanpur University, Kanpur	General Laws	1992/ Second
9.	Post Graduate Diploma in Public Policy and Management (PGPPM)	Indian Institute of Management Bangalore/ Syracuse University, USA	Public Policy, Management Economics	2009/ Pass (CGPA 3.27/4.00)\$
10.	Doctor of Philosophy# (Ph.D.)	Indian Institute of Technology Delhi	Economics	<b>Continuing</b> / CGPA 9.5/10 course work @

+ With Distinction in two subjects: Mathematics and Accountancy.

\* Passed two groups in one semester both in Intermediate and Final.

\$ Selected for PGPPM Visiting Fellowship of Indian Institute of Management Bangalore.

# Was selected and was offered admission in the Doctoral Programme (FPM) of Indian Institute of Management Ahmedabad in Finance & Accounting Area and Public Systems Area as well as of Indian Institute of Management Bangalore in Finance & Control Area and Public Policy Area in the year 2009, but did not join due to some personal reasons.

@ Also cleared Comprehensive Examination and Research plan has been approved.

10. Experience Details

S. No	Designation	Level/ Grade	Department/ Office	Cadre Post or Deputation Post (Central Staffing or others)	Major Experience	Period (From/ To)
1.	Financial Adviser & Chief Accounts Officer	STS	Government Opium & Alkaloid Works, Deptt. of Revenue, Ministry of Finance, Ghazipur, U.P.	Cadre Post	Headed finance & accounts department looking after internal control of finance & accounts; co-ordination of audit etc.	23.12.1994/ 27.06.1997
2.	Deputy Director (Cost)	STS	Tariff Commission/ Bureau of Industrial Cost & Prices, D/o Industrial Policy & Promotion, M/o Commerce & Industry, New Delhi	Cadre Post	Tariff and cost/ price studies of different goods and services for recommending tariff/ fair price.	28.06.1997/ 30.01.2001
3.	Joint Chief (Finance)	NFSG	Central Electricity Regulatory Commission, New Delhi	Deputation (on Foreign Service)	<ul style="list-style-type: none"> <li>• Development of tariff models for determination of generation tariff of hydro &amp; thermal power stations/ transmission tariff of inter-state transmission;</li> <li>• Finalization of tariff and other regulations;</li> <li>• Analysis of financial accounts of power sector companies.</li> </ul>	31.01.2001/ 30.01.2006
4.	Joint Director (Cost)	JAG	Office of Chief Adviser Cost, Department of Expenditure, Ministry of Finance, New Delhi	Cadre Post	<ul style="list-style-type: none"> <li>• Cost/ price studies for determination of tariff/ fair price of goods and user charges for services;</li> </ul>	31.01.2006/ 30.06.2006
5.	Director (Cost)	NFSG	Expenditure, Ministry of Finance, New Delhi	Cadre Post	<ul style="list-style-type: none"> <li>• Member of the -Committee of Experts to make a cost-benefit analysis for granting fiscal incentives/ concessions for power sector</li> <li>• Concurrent Internal audit;</li> <li>• Conduct of training programs for ICoAS Officers.</li> </ul>	01.07.2006/ 04.07.2010

S. No	Designation	Level/ Grade	Department/ Office	Cadre Post or Deputation Post (Central Staffing or others)	Major Experience	Period (From/ To)
6.	Director (Cost)	NFSG	Directorate of Contracts and Cost Management, Integrated Headquarters (Navy), Ministry of Defence, New Delhi	Cadre Post	<ul style="list-style-type: none"> <li>• Analysis of financial performance of companies;</li> <li>• Working out benchmark cost;</li> <li>• Participation in the meetings of price negotiation committees and contract negotiation committees.</li> </ul>	05.07.2010/ 12.05.2011
7.	Secretary	SAG	Delhi Electricity Regulatory Commission, New Delhi	Deputation (on Foreign Service)	Head of Department looking after determination of tariff of generation, transmission & distribution companies; issue of various tariff and other regulations.	13.05.2011/ 22.09.2011
8.	Director (Cost)	NFSG (NFU w.e.f. 01.04.2012)	Office of Chief Adviser Cost, Department of Expenditure, Ministry of Finance, New Delhi	Cadre Post	<ul style="list-style-type: none"> <li>• Studies on fees and user charges of departments/ autonomous organisations of various Ministries of Central Government;</li> </ul>	23.09.2011/ 30.12.2014
9.	Adviser (Cost)	SAG	Office of Chief Adviser Cost, Department of Expenditure, Ministry of Finance, New Delhi	Cadre Post	<ul style="list-style-type: none"> <li>• Member Secretary of the Committee constituted by Department of Expenditure to suggest review of user charges of autonomous bodies;</li> <li>• Cost/ price studies of various goods/ services.</li> <li>• Represented as a Member in the Standing Committees to examine time and cost overruns; Expenditure Finance Committees and other Committees.</li> </ul>	31.12.2014/ 10.05.2016

S. No	Designation	Level/ Grade	Department/ Office	Cadre Post or Deputation Post (Central Staffing or others)	Major Experience	Period (From/ To)
10.	Chief Adviser (Cost)	SAG	Tariff Commission, Ministry of Commerce & Industry, New Delhi	Cadre Post	<ul style="list-style-type: none"> <li>Inverted duty structure studies for rationalisation of duty structure;</li> <li>Impact Assessment Studies of indirect tax incentives extended in the Union Budgets;</li> <li>Cost/ price studies of various goods/ services</li> </ul>	11.05.2016/ Present
<u>Before Joining the Service</u>						
11.	Trainee	-	The General Electric Company of India Ltd., Naini Works, Allahabad		Costing; MIS; Budgeting.	01.09.1984/ 28.04.1985
12.	Accounts Officer	JTS equivalent	Triveni Structurals Limited, Allahabad (Central PSU)		Costing; Bank Accounting; Project/ Sales Accounting; Internal Audit.	29.04.1985/ 22.07.1988
13.	Manager (Finance)	STS equivalent	Uttar Pradesh State Industrial Development Corporation Limited, Kanpur (State PSU of Uttar Pradesh Government)		Appraisal of projects; Rehabilitation of sick units; Analysis of annual accounts & costing data; and Corporate law matters of assisted companies	26.07.1988/ 22.12.1994

#### 11. Training Details (Domestic/Foreign)

S. No	Year	Training Name	Institution	City/ Country	Domestic/ Foreign	Duration (In weeks)
1.	1991	Industrial Sickness & Rehabilitation of Sick Industries	Management Development Institute, Gurgaon	Bangalore/ India	Domestic	6 days (29 July-3 August)
2.	2000	Office Automation Tools	National Informatics Centre, New Delhi	New Delhi/ India	Domestic	3 days (27-29 March)
3.	2001	CERC Regulatory Functions	The United States Agency for International Development Mission- and The Institute of International Education, Washington DC.	Manesar/ India	Domestic	5 days (20-24 February)

S. No	Year	Training Name	Institution	City/Country	Domestic/Foreign	Duration (In weeks)
4.	2001	South Asia Regional Initiative for Energy (SARI/E) Program on Applied Communications - Regulatory Issues in South Asia.	Agency for International Development of the United States of America in co-operation with the Governments of Bangladesh, Bhutan, India, Maldives, Nepal and Sri Lanka	New Delhi/India	Domestic	2 days (10-11 April)
5.	2001	National Conference on Reforms in the Infrastructure Sector: next steps	Tata Energy Research Institute, New Delhi	New Delhi/India	Domestic	2 days (28-29 November)
6.	2001	National Workshop on Restructuring and Financing of Power Sector	Indian Institute of Technology Kanpur	Kanpur/India	Domestic	6 days (26-31 December)
7.	2002	Public Utility Regulation	National Regulatory Research Institute, The Ohio State University, USA	Columbus, USA	Foreign	2 days (25-26 February)
8.	2002	Regional International Symposium on Water for Human Survival	Central Board of Irrigation and Power, New Delhi	New Delhi/India	Domestic	4 days (27-30 November)
9.	2004	Core Training Programme on Infrastructure Regulation and Reform	South Asia Forum for Infrastructure Regulation, New Delhi	Murree/Pakistan	Foreign	10 days (2-11 October)
10.	2005	Workshop of Officers of Electricity Regulatory Commissions	Forum of Indian Regulators, New Delhi	New Delhi/India	Domestic	2 days (6-7 June)
11.	2016	Mid-Career Training (Executive Development) Program for ICoAS Officers	NIFM, Faridabad/Seneca College, Toronto, Canada	Faridabad/India and Canada & USA	Domestic (one week) & Foreign (two weeks)	19 days (6-24 June)

## 12. Awards/Publication Details

### (a) Awards

PGPPM Visiting Associateship of Indian Institute of Management Bangalore in the year 2008.

### (b) Publications

- The potential of electricity import to meet the future electricity requirements of India (2017). *The Electricity Journal* 30(2017)71684. (Co-authored with Prof. Seema Sharma, IIT Delhi).
- Domestic passenger carriage in Indian civil aviation: An analysis of national carrier (2012). *VII Annual International Conference on Public Policy and Management, 16-18 August, 2012- Select Papers*. Centre for Public Policy, IIM, Bangalore, India.

- Indian electricity market: Analysis of competition and market power in wholesale- electricity market in India (2011). Lap Lambert Academic Publishing Saarbrücken, Germany.
- Analysis of competition and market power in wholesale electricity market in India (2011) *In Rao S. L. (ed.) Powering India: A decade of policies and regulation.* Academic Foundation, New Delhi, India, pp.105-138. (Co-authored with Prof. Ashok Thampy, IIM Bangalore).
- Analysis of competition and market power in wholesale electricity market in India (2011). *Energy Policy*, 39(5) 2699-2710. (Co-authored with Prof. Ashok Thampy, IIM Bangalore).
- The impact of power exchanges on electricity prices in the wholesale electricity market in India (2010). *CPP Occasional Paper Series No. 12*, Centre for Public Policy, IIM, Bangalore, India. (Co-authored with Prof. Ashok Thampy, IIM Bangalore).
- Analysis of UMPPsø framework (2010). *Journal of Indian Business Research*, 2(2) 109-122.
- Analysis of competition and market power in wholesale electricity market in India (2009). *CPP Monograph Series No. 4*, Centre for Public Policy, IIM, Bangalore, India. (Co-authored with Prof. Ashok Thampy, IIM Bangalore)
- Ultra mega power projects (2009). *Yojana*, 53(7) 38-41.
- UMPPs: Vital step in journey towards -power for all, (2008). *Business Line*, 26 April. (Co-authored with Prof. A. Thillai Rajan, IIT Chennai).

(c) Papers selected/ presented in Conferences/ Seminars/ Workshops:

- Tariff determination process in CERC (2003). Workshop on 'Evolution of Tariff Regime' held on 26-27 February, 2003 at New Delhi organised by Power Finance Corporation Limited, New Delhi, India.
- Role of CERC in tariff determination (2003). Workshop on power reforms: Technological and financial held on 4-5 September, 2003 at IIT Kanpur organised by IIT Kanpur, India.
- Economic reforms in China (2007). Sixteenth Symposium on Development and Social Transformation held on 12-14 November, 2007 at Maxwell School of Public Policy and Citizenship, Syracuse University, USA organised by Syracuse University, USA.
- Tariff determination- Generation and transmission- Case Study (2008). Capacity Building Programme for Officers of Electricity Regulatory Commissions held on 30 June-5 July, 2008 at IIT Kanpur organised by IIT Kanpur, India.
- Ultra mega power projects: A solution to achieve the mission of -Affordable power to allø in India? (2009). IV Annual International Conference on Public Policy and Management held on 9-12 August, 2009 at IIM Bangalore organised by Centre for Public Policy, IIM, Bangalore, India.
- Analysis of competition in wholesale electricity market in India (2009). IV Annual International Conference on Public Policy and Management held on 9-12 August, 2009 at IIM Bangalore organised by Centre for Public Policy, IIM, Bangalore, India. (Co-authored with Prof. Ashok Thampy, IIM, Bangalore).

- Infant mortality in India (2010). V Annual International Conference on Public Policy and Management held on 8-11 August, 2010 at IIM Bangalore organised by Centre for Public Policy, IIM, Bangalore, India.
- The impact of power exchanges on electricity prices in the wholesale electricity market in India (2010). V Annual International Conference on Public Policy and Management held on 8-11 August, 2010 at IIM Bangalore organised by Centre for Public Policy, IIM, Bangalore, India. (Co-authored with Prof. Ashok Thampy, IIM, Bangalore).
- Domestic passenger carriage in Indian civil aviation: An analysis of national carrier (2012). VII Annual International Conference on Public Policy and Management held on 16-18 August, 2012 at IIM Bangalore organised by Centre for Public Policy, IIM, Bangalore, India.
- Is UMPP power affordable? (2013). VIII International Conference on Public Policy & Management held on 12-14 August, 2013 at IIM Bangalore organised by Centre for Public Policy, IIM, Bangalore, India.
- Unbundling of petroleum industry in India- an analytical study to bring operational efficiency and reduce subsidy burden (2015). X International Conference on Public Policy & Management held on 3-5 August, 2015 at IIM Bangalore organised by Centre for Public Policy, IIM, Bangalore, India. (Co-authored with Shri B.B. Goyal, then Addl Chief Adviser Cost, Government of India).

### 13. Other

#### (a) Training Programs organized/ Conducted:

- On the job computer training programme from 12 to 26 June, 1997 at Government Opium & Alkaloid Works, Department of Revenue, Ghazipur.
- Various training programs/ seminar / workshops for the ICoAS Officers at Office of Chief Adviser Cost, Department of Expenditure, New Delhi.
- Various conferences/ seminars/ workshops for Northern India Regional Council of The Institute of Cost & Works Accountants of India, New Delhi.

#### (b) Nominee Director on the Board of the Companies:

- 1989 to 1991: Shree Bhawani Paper Mills Limited, Allahabad.
- 1991 to 1994: Khandelwal Extractions Limited, Kanpur.
- 1991 to 1994: Kiccha Sugar Mills Limited, Nainital.
- 1991 to 1994: Madan Industries Limited, Hastinapur.

#### (c) Office Bearers of Professional Bodies:

- Regional Council Member of Northern India Regional Council of The Institute of Cost & Works Accountants of India from 2004 to 2006.
- Chairman, Coaching Committee and Vice Chairman of Northern India Regional Council of The Institute of Cost & Works Accountants of India from 2004 to 2007.
- Member of the Screening Committee of National Award for Excellence in Cost Management - 2006 of The Institute of Cost & Works Accountants of India, Kolkata.

- Member of Management Training & Placement Committee of Northern India Regional Council of The Institute of Company Secretaries of India, New Delhi for the year 2001-02.
- Chairman (2003-04), Vice-Chairman (2002-03) and Secretary (2000-02) of Noida Chapter of The Institute of Cost & Works Accountants of India.
- Member of the Managing Committee of Kanpur Chapter of The Institute of Cost & Works Accountants of India from 1989 to 1994.
- Member of the Managing Committee of Allahabad Chapter of The Institute of Cost & Works Accountants of India from 1986 to 1988.

(d) Extra- Curricular Activities:

- *Successfully completed 'Kailash Mansarovar Yatra' organised by Ministry of External Affairs, Government of India as Liaison Officer of Batch-11 of 2014 (with 56 Yatri) from 18th July to 12th August, 2014.*
- Shortlisted and was found medically fit for Liaison Officer of Kailash Mansarovar Yatra-2013, organised by Ministry of External Affairs, Government of India. (However, due to torrential rain and flooding in Uttarakhand, out of 18 batches, only first batch could go and remaining batches were cancelled.)
- Participated in UP Junior Chess Championship held at Kanpur in 1981.
- Passed National Cadet Corps, Certificate 'B' Examination in 1981.

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